

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Kaplan, et al.

CONFIRMATION NUMBER:

7268

SERIAL NUMBER:

10/043,899

EXAMINER:

Cheung, Mary Da

Zhi Wang

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January 11, 2002

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3694

For:

ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL

PRODUCTS OVER A COMPUTER NETWORK

MAIL STOP AMENDMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the above-referenced patent applications are the following documents:

- 1. Response to Restriction/Election Requirement Dated March 7, 2007 (3 pgs.);
- 2. Petition for Five-Month Extension of Time (1 pg.), in duplicate;
- 3. Check No. 3999 in the amount of \$1,080.00 for the extension fee; and
- 4. Return Postcard.

Applicants believe that no additional fees are due in connection with this submission, however, the Director is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 24631-501, Customer Number: 35437.

Dated: September 5, 2007

B- 11./

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Respectfully submitted,

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